

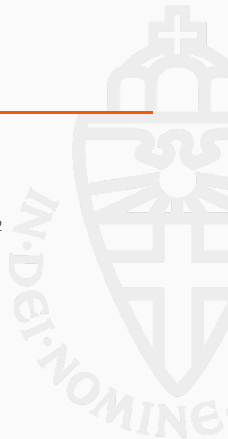


Column Parity Mixers

Joan Daemen^{1,2}

partially based on joint work with Ko Stoffelen¹ and Gilles Van Assche²
Mathematical Methods for Cryptography Workshop 2017

¹Radboud University ²STMicroelectronics



Some context

Column parity mixers

Algebraic properties

Diffusion properties

Showcase 1: a permutation using a CPM (with Ko Stoffelen)

Showcase 2: efficient inverse of θ of KECCAK- p (with Gilles Van Assche)



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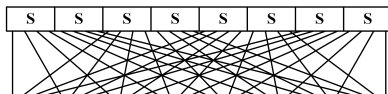
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Showcase 1: a permutation using a CPM (with Ko Stoffelen)

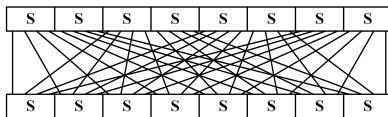
Showcase 2: efficient inverse of θ of KECCAK- p (with Gilles Van Assche)



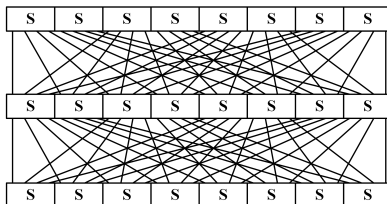
Confusion and Diffusion



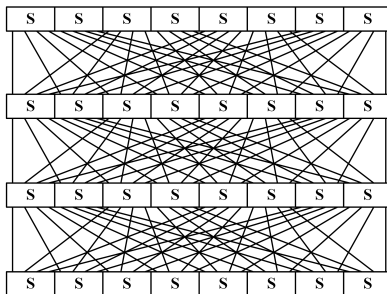
Confusion and Diffusion



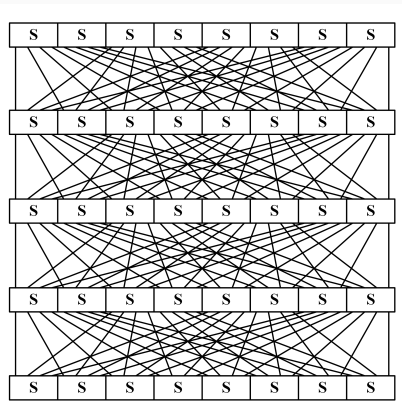
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Confusion and Diffusion



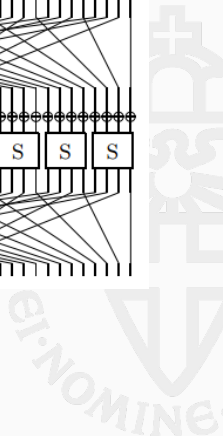
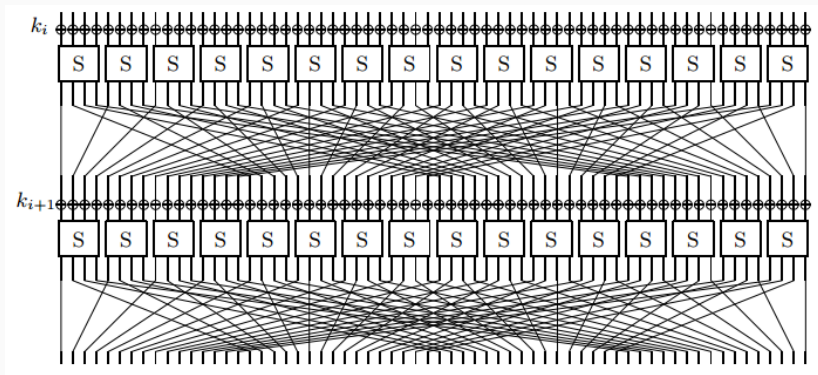
Confusion and Diffusion



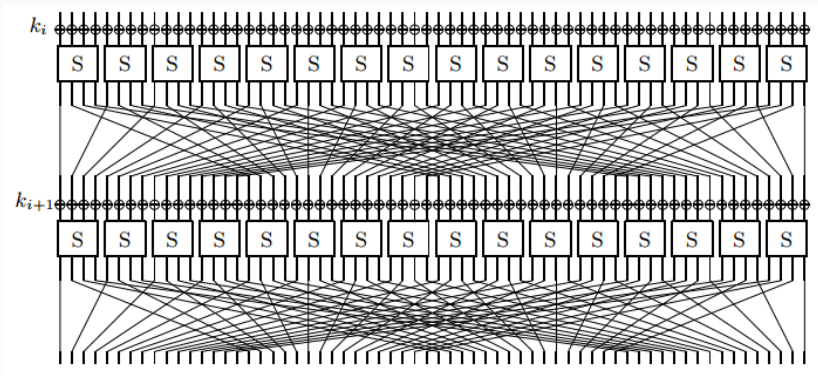
Substitution-Permutation Network



Modern example of SPN

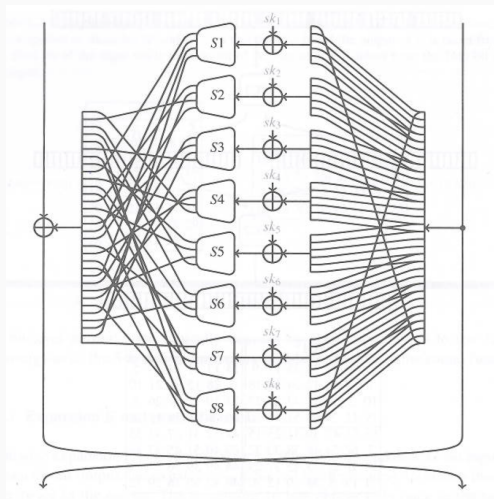


Modern example of SPN

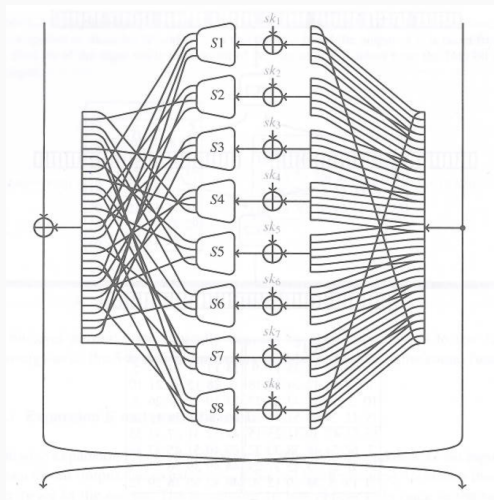


Present [Bogdanov, Knudsen, Leander, Paar, Poschmann, Robshaw, Seurin, Vikkelsoe,
CHES '07]

Round function of another famous block cipher



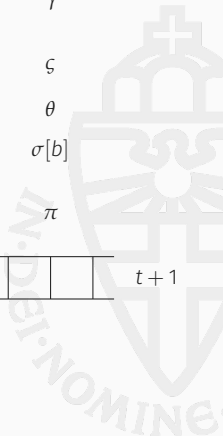
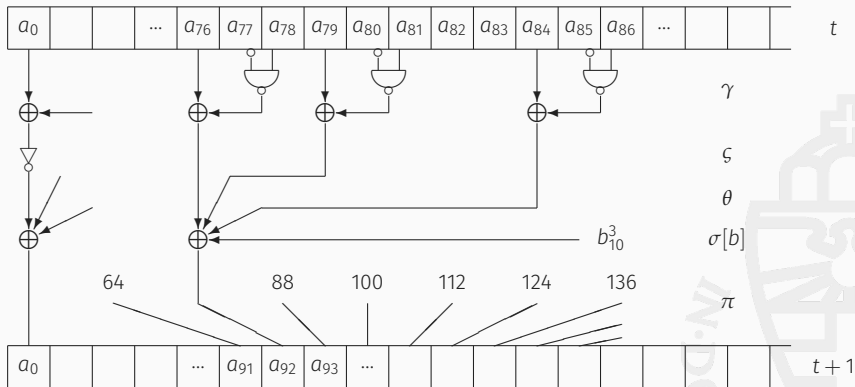
Round function of another famous block cipher

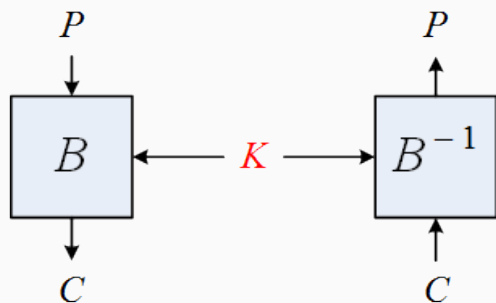


DES [FIPS 46, '77]



A round function with a dedicated mixing layer





- ▶ Alternate round key addition with round function
- ▶ Round function with 4 steps:
 - θ mixing
 - π_1 first transposition
 - γ nonlinearity (variant of χ on 3-bit units)
 - π_2 second transposition
- ▶ Goal is that inverse and forward can use same hardware



$$M_\theta = \begin{pmatrix} 1 & \cdot & 1 & \cdot & \cdot & \cdot & 1 & 1 & \cdot & 1 & 1 & 1 \\ 1 & 1 & \cdot & 1 & \cdot & \cdot & \cdot & 1 & 1 & \cdot & 1 & 1 \\ 1 & 1 & 1 & \cdot & 1 & \cdot & \cdot & \cdot & 1 & 1 & \cdot & 1 \\ 1 & 1 & 1 & 1 & \cdot & 1 & \cdot & \cdot & \cdot & 1 & 1 & \cdot \\ \cdot & 1 & 1 & 1 & 1 & \cdot & 1 & \cdot & \cdot & \cdot & 1 & 1 \\ 1 & \cdot & 1 & 1 & 1 & 1 & \cdot & 1 & \cdot & \cdot & \cdot & 1 \\ 1 & 1 & \cdot & 1 & 1 & 1 & 1 & \cdot & 1 & \cdot & \cdot & \cdot \\ \cdot & 1 & 1 & \cdot & 1 & 1 & 1 & 1 & \cdot & 1 & \cdot & \cdot \\ \cdot & \cdot & 1 & 1 & \cdot & 1 & 1 & 1 & 1 & \cdot & 1 & \cdot \\ \cdot & \cdot & \cdot & 1 & 1 & \cdot & 1 & 1 & 1 & 1 & \cdot & 1 \\ 1 & \cdot & \cdot & \cdot & 1 & 1 & \cdot & 1 & 1 & 1 & 1 & \cdot \\ \cdot & 1 & \cdot & \cdot & \cdot & 1 & 1 & \cdot & 1 & 1 & 1 & 1 \end{pmatrix}$$



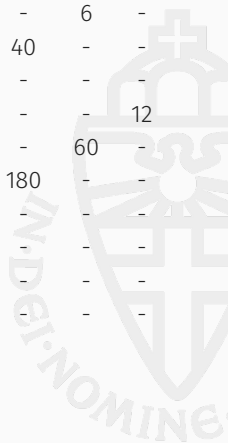
$$M_\theta = \begin{pmatrix} 1 & \cdot & 1 & \cdot & \cdot & \cdot & 1 & 1 & \cdot & 1 & 1 & 1 \\ 1 & 1 & \cdot & 1 & \cdot & \cdot & \cdot & 1 & 1 & \cdot & 1 & 1 \\ 1 & 1 & 1 & \cdot & 1 & \cdot & \cdot & \cdot & 1 & 1 & \cdot & 1 \\ 1 & 1 & 1 & 1 & \cdot & 1 & \cdot & \cdot & \cdot & 1 & 1 & \cdot \\ \cdot & 1 & 1 & 1 & 1 & \cdot & 1 & \cdot & \cdot & \cdot & 1 & 1 \\ 1 & \cdot & 1 & 1 & 1 & 1 & \cdot & 1 & \cdot & \cdot & \cdot & 1 \\ 1 & 1 & \cdot & 1 & 1 & 1 & 1 & \cdot & 1 & \cdot & \cdot & \cdot \\ \cdot & 1 & 1 & \cdot & 1 & 1 & 1 & 1 & \cdot & 1 & \cdot & \cdot \\ \cdot & \cdot & 1 & 1 & \cdot & 1 & 1 & 1 & 1 & \cdot & 1 & \cdot \\ \cdot & \cdot & \cdot & 1 & 1 & \cdot & 1 & 1 & 1 & 1 & \cdot & 1 \\ 1 & \cdot & \cdot & \cdot & 1 & 1 & \cdot & 1 & 1 & 1 & 1 & \cdot \\ \cdot & 1 & \cdot & \cdot & \cdot & 1 & 1 & \cdot & 1 & 1 & 1 & 1 \end{pmatrix}$$

Turns out to be orthogonal: $M_\theta^{-1} = M_\theta^T$



Diffusion properties of 3-WAY mixing layer

$ x \setminus M_{\theta} x $	1	2	3	4	5	6	7	8	9	10	11
1	-	-	-	-	-	-	12	-	-	-	-
2	-	-	-	-	-	60	-	-	-	6	-
3	-	-	-	-	180	-	-	-	40	-	-
4	-	-	-	255	-	-	-	240	-	-	-
5	-	-	180	-	-	-	600	-	-	-	12
6	-	60	-	-	-	804	-	-	-	60	-
7	12	-	-	-	600	-	-	-	180	-	-
8	-	-	-	240	-	-	-	255	-	-	-
9	-	-	40	-	-	-	180	-	-	-	-
10	-	6	-	-	-	60	-	-	-	-	-
11	-	-	-	-	12	-	-	-	-	-	-



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1	-	-	-	-	-	-	12	-	-	-	-
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3	-	-	-	-	180	-	-	-	40	-	-
4	-	-	-	255	-	-	-	240	-	-	-
5	-	-	180	-	-	-	600	-	-	-	12
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7	12	-	-	-	600	-	-	-	180	-	-
8	-	-	-	240	-	-	-	255	-	-	-
9	-	-	40	-	-	-	180	-	-	-	-
10	-	6	-	-	-	60	-	-	-	-	-
11	-	-	-	-	12	-	-	-	-	-	-

► $\min(|x| + |M_{\theta}x|)$: branch number \mathcal{B} [JDA, 1993]

$ x \setminus M_\theta x $	1	2	3	4	5	6	7	8	9	10	11
1	-	-	-	-	-	-	12	-	-	-	-
2	-	-	-	-	-	60	-	-	-	6	-
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11	-	-	-	-	12	-	-	-	-	-	-

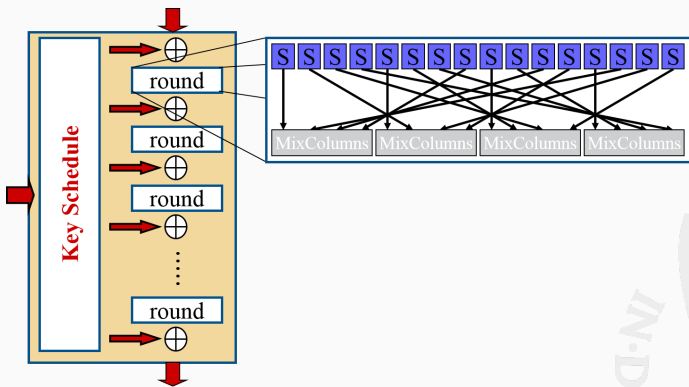
- ▶ $\min(|x| + |M_\theta x|)$: branch number \mathcal{B} [JDA, 1993]
- ▶ link with error-correcting codes [JDA, 1995]:
 - $(x, M_\theta x)$ as codeword for x : minimum distance $d = \mathcal{B}$

$ x \setminus M_\theta x $	1	2	3	4	5	6	7	8	9	10	11
1	-	-	-	-	-	-	12	-	-	-	-
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3	-	-	-	-	180	-	-	-	40	-	-
4	-	-	-	255	-	-	-	240	-	-	-
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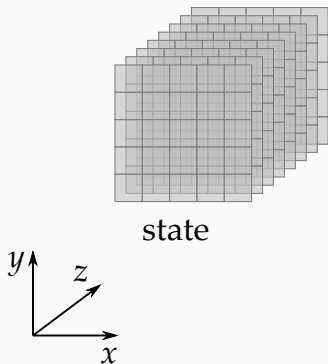
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 - $[24, 12, 8]$ -code:

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1	-	-	-	-	-	-	12	-	-	-	-
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3	-	-	-	-	180	-	-	-	40	-	-
4	-	-	-	255	-	-	-	240	-	-	-
5	-	-	180	-	-	-	600	-	-	-	12
6	-	60	-	-	-	804	-	-	-	60	-
7	12	-	-	-	600	-	-	-	180	-	-
8	-	-	-	240	-	-	-	255	-	-	-
9	-	-	40	-	-	-	180	-	-	-	-
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 - $[24, 12, 8]$ -code: extended binary Golay code

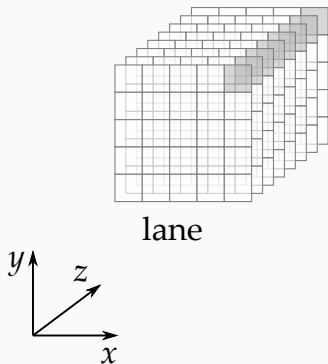


- ▶ bytes rather than bits
- ▶ MixColumns has $\mathcal{B} = 5$: maximum-distance separable (MDS) code
- ▶ Great! ...but
 - scales badly to widths required in hashing or permutations
 - SubBytes 8-bit S-box is heavy in HW or bit-sliced SW



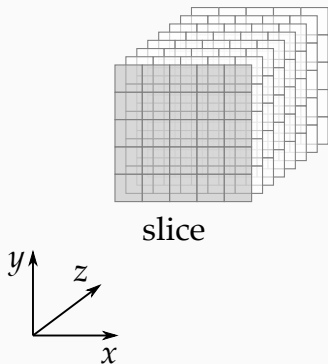
- ▶ 5×5 lanes, each containing 2^ℓ bits (1, 2, 4, 8, 16, 32 or 64)
- ▶ (5×5) -bit slices, 2^ℓ of them





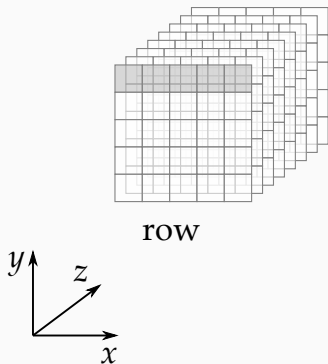
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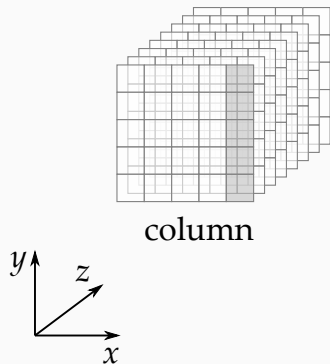
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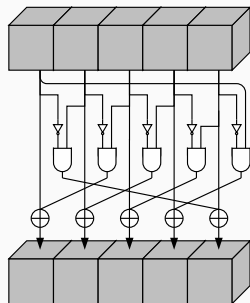
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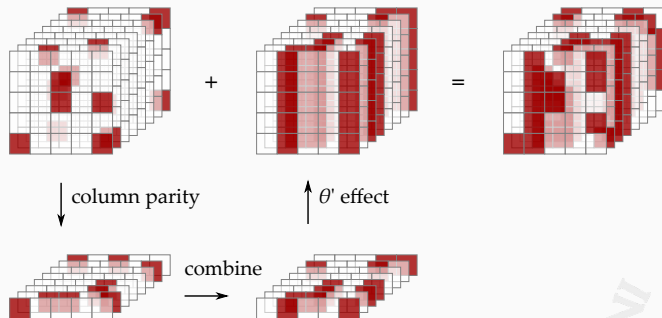


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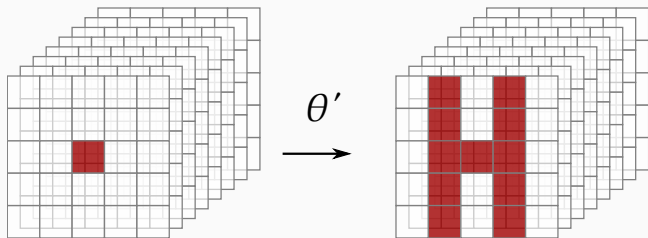


θ' , a simplified version of the mixing layer

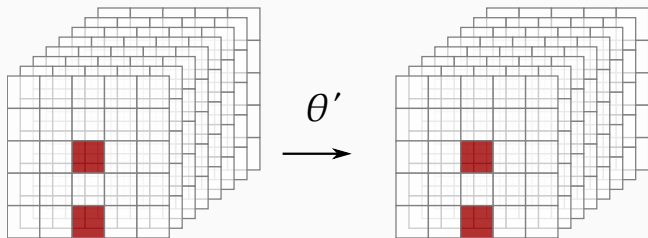


- ▶ Add to each cell parity of neighboring columns:
 $b_{x,y,z} = a_{x,y,z} \oplus p_{x-1,z} \oplus p_{x+1,z}$
- ▶ **Cost:** 2 XORs per bit

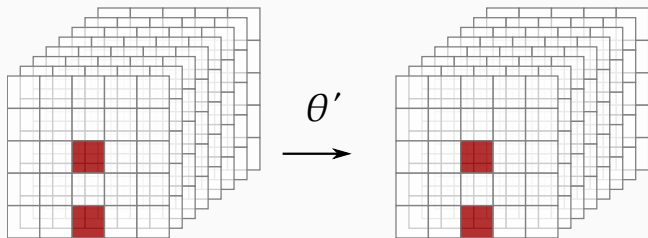


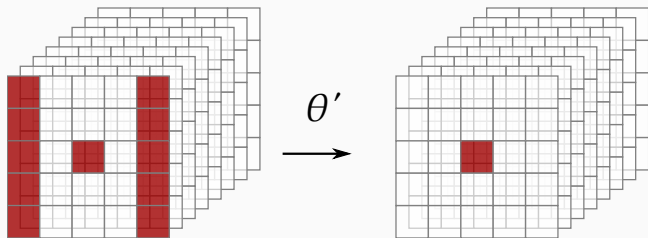


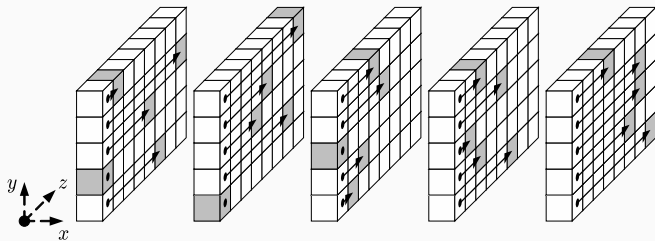
Propagation of particular 2-bit difference (kernel)



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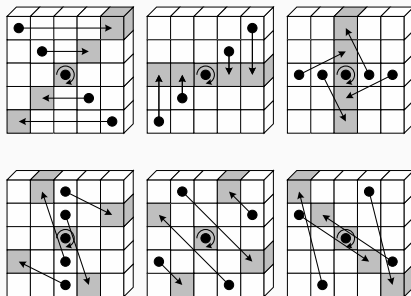






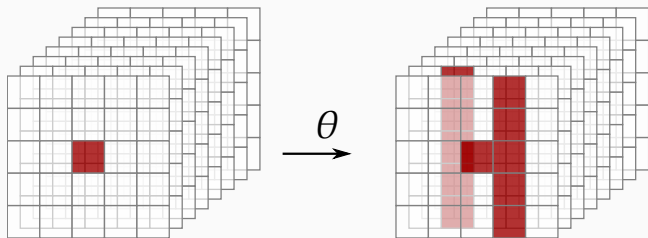
For diffusion between the slices

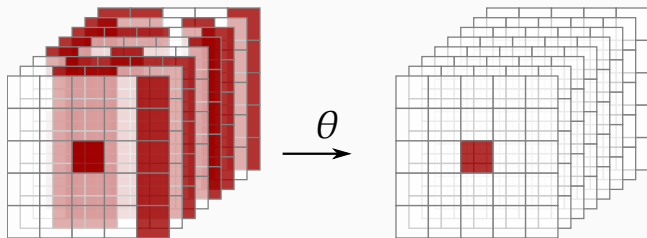




$$a_{x,y} \leftarrow a_{x',y'} \text{ with } \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 2 & 3 \end{pmatrix} \begin{pmatrix} x' \\ y' \end{pmatrix}$$







Density of inverse frustrates cryptanalysts



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$$\begin{pmatrix} a_{00} & a_{01} & a_{02} & a_{03} & a_{04} \\ a_{10} & a_{11} & a_{12} & a_{13} & a_{14} \\ a_{20} & a_{21} & a_{22} & a_{23} & a_{24} \\ a_{30} & a_{31} & a_{32} & a_{33} & a_{34} \end{pmatrix} = \text{e.g.} \begin{pmatrix} 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 \end{pmatrix}$$



$$\begin{pmatrix} a_{00} & a_{01} & a_{02} & a_{03} & a_{04} \\ a_{10} & a_{11} & a_{12} & a_{13} & a_{14} \\ a_{20} & a_{21} & a_{22} & a_{23} & a_{24} \\ a_{30} & a_{31} & a_{32} & a_{33} & a_{34} \end{pmatrix} = \text{e.g.} \begin{pmatrix} 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 \end{pmatrix}$$

Matrix A with m rows and n columns



$$\begin{pmatrix} p_0 & p_1 & p_2 & p_3 & p_4 \end{pmatrix} = \begin{pmatrix} 1 & 1 & 1 & 1 \end{pmatrix} \begin{pmatrix} a_{00} & a_{01} & a_{02} & a_{03} & a_{04} \\ a_{10} & a_{11} & a_{12} & a_{13} & a_{14} \\ a_{20} & a_{21} & a_{22} & a_{23} & a_{24} \\ a_{30} & a_{31} & a_{32} & a_{33} & a_{34} \end{pmatrix}$$



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$$P = \mathbf{1}_m^T A$$



$$(e_0 \quad e_1 \quad e_2 \quad e_3 \quad e_4) = (p_0 \quad p_1 \quad p_2 \quad p_3 \quad p_4) \begin{pmatrix} z_{00} & z_{01} & z_{02} & z_{03} & z_{04} \\ z_{10} & z_{11} & z_{12} & z_{13} & z_{14} \\ z_{20} & z_{21} & z_{22} & z_{23} & z_{24} \\ z_{30} & z_{31} & z_{32} & z_{33} & z_{34} \\ z_{40} & z_{41} & z_{42} & z_{43} & z_{44} \end{pmatrix}$$



$$(e_0 \ e_1 \ e_2 \ e_3 \ e_4) = (p_0 \ p_1 \ p_2 \ p_3 \ p_4) \begin{pmatrix} z_{00} & z_{01} & z_{02} & z_{03} & z_{04} \\ z_{10} & z_{11} & z_{12} & z_{13} & z_{14} \\ z_{20} & z_{21} & z_{22} & z_{23} & z_{24} \\ z_{30} & z_{31} & z_{32} & z_{33} & z_{34} \\ z_{40} & z_{41} & z_{42} & z_{43} & z_{44} \end{pmatrix}$$

$$e = PZ$$



$$\begin{pmatrix} e_0 & e_1 & e_2 & e_3 & e_4 \\ e_0 & e_1 & e_2 & e_3 & e_4 \\ e_0 & e_1 & e_2 & e_3 & e_4 \\ e_0 & e_1 & e_2 & e_3 & e_4 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix} \begin{pmatrix} e_0 & e_1 & e_2 & e_3 & e_4 \end{pmatrix}$$



$$\begin{pmatrix} e_0 & e_1 & e_2 & e_3 & e_4 \\ e_0 & e_1 & e_2 & e_3 & e_4 \\ e_0 & e_1 & e_2 & e_3 & e_4 \\ e_0 & e_1 & e_2 & e_3 & e_4 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix} \begin{pmatrix} e_0 & e_1 & e_2 & e_3 & e_4 \end{pmatrix}$$

$$E = \mathbf{1}_m e$$



Compute the column parity

$$P = \mathbf{1}_m^T A$$



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Compute the θ -effect by applying the folding matrix Z

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Stretch the θ -effect

$$E = \mathbf{1}_m PZ = \mathbf{1}_m \mathbf{1}_m^T A Z = \mathbf{1}_m^m A Z$$



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Stretch the θ -effect

$$E = \mathbf{1}_m PZ = \mathbf{1}_m \mathbf{1}_m^T A Z = \mathbf{1}_m^m A Z$$

Add the θ -effect to the state

$$\theta(A) = A + E$$



Compute the column parity

$$P = \mathbf{1}_m^T A$$

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Add the θ -effect to the state

$$\theta(A) = A + E$$

Working out gives:

$$\theta(A) = A + \mathbf{1}_m^m A Z$$



A column parity mixer is defined by

m : number of rows of the state

n : number of columns of the state

Z : $n \times n$ parity-folding matrix

$$\theta(A) = A + \mathbf{1}_m^m AZ$$



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Showcase 2: efficient inverse of θ of KECCAK- p (with Gilles Van Assche)



What does $\theta'' = \theta' \circ \theta$ look like?

$$\begin{aligned}\theta'(\theta(A)) &= \theta'(A + \mathbf{1}_m^m AZ) \\ &= A + \mathbf{1}_m^m AZ + \mathbf{1}_m^m (A + \mathbf{1}_m^m AZ) Z' \\ &= A + \mathbf{1}_m^m AZ + \mathbf{1}_m^m AZ' + (\mathbf{1}_m^m)^2 AZZ'\end{aligned}$$



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For even m : $(\mathbf{1}_m^m)^2 = \mathbf{0}$

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$\theta' \circ \theta$ is CPM with $Z'' = Z' + Z$

- ▶ group isomorphic to $(\mathbb{Z}_2^{n^2}, +)$
- ▶ any CPM is an involution
- ▶ any CPM is invertible
- ▶ commutativity: $\theta' \circ \theta = \theta \circ \theta'$



$$\begin{aligned}\theta'(\theta(A)) &= A + \mathbf{1}_m^m AZ + \mathbf{1}_m^m AZ' + \mathbf{1}_m^m AZZ' \\ &= A + \mathbf{1}_m^m A ((Z + I)(Z' + I) + I)\end{aligned}$$



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$\theta' \circ \theta$ is CPM with $Z'' + I = (Z + I)(Z' + I)$

- ▶ Group isomorphic to $GL(n, 2)$
- ▶ CPM is invertible iff $Z + I$ is, and inverse has $Z' + I = (Z + I)^{-1}$
- ▶ Non-commutative



Input difference: $A' = A + A^*$, output difference $B' = \theta(A) + \theta(A^*)$



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Thanks to linearity:

$$B' = A' + \mathbf{1}_m^m A' Z$$



With (vector) state a , linear function defined as *mask* w : $w^T a$

state a :

a_0	a_1	a_2	a_3	a_4	a_5	a_6	a_7	a_8	a_9	a_{10}	a_{11}
-------	-------	-------	-------	-------	-------	-------	-------	-------	-------	----------	----------

mask w :

0	1	0	0	1	1	0	0	1	0	0	0
---	---	---	---	---	---	---	---	---	---	---	---

$w^T x$: $a_1 + a_4 + a_5 + a_8$



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Mask propagation through linear mapping with matrix M :

Let $b = Ma$. Then given v , what is u such that $u^T a = v^T b$?



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Mask propagation through linear mapping with matrix M :

Let $b = Ma$. Then given v , what is u such that $u^T a = v^T b$?

$$v^T b = v^T M a = (M^T v)^T a$$

v at output of M propagates to $u = M^T v$ at input



Representation of linear functions:

- ▶ Mask: $m \times n$ binary matrix V
- ▶ Linear function of A : $\sum_{ij} v_{ij} a_{ij}$



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$$\begin{aligned}\text{tr}(U^T A) &= \text{tr}(V^T B) \\ &= \text{tr}(V^T (A + \mathbf{1}_m^T A Z)) \\ &= \text{tr}(V^T A + V^T \mathbf{1}_m^T A Z) \\ &= \text{tr}(V^T A + (\mathbf{1}_m^T V)^T A Z) \\ &= \text{tr}(V^T A + Z (\mathbf{1}_m^T V)^T A) \\ &= \text{tr}((V + \mathbf{1}_m^T V Z^T)^T A).\end{aligned}$$

V at output of M propagates to $U = V + \mathbf{1}_m^T V Z^T$ at input



Polynomial representation of parity:

$$\left(\begin{array}{ccccc} p_0 & p_1 & p_2 & p_3 & p_4 \end{array} \right) \longleftrightarrow p_0 + p_1x + p_2x^2 + p_3x^3 + p_4x^4 = p(x)$$



Polynomial representation of parity:

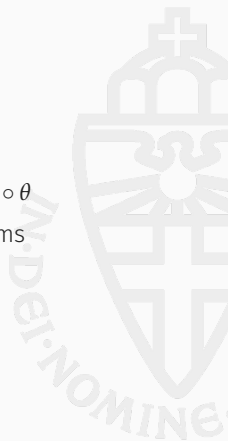
$$\begin{pmatrix} p_0 & p_1 & p_2 & p_3 & p_4 \end{pmatrix} \longleftrightarrow p_0 + p_1x + p_2x^2 + p_3x^3 + p_4x^4 = p(x)$$

Computation of e :

$$e(x) = z(x)p(x) \bmod 1 + x^n$$

Implications for even number of rows m

- ▶ composition of circulant CPMs is commutative: $\theta \circ \theta' = \theta' \circ \theta$
- ▶ CPM can only be invertible if $z(x)$ has even number of terms



Some context

Column parity mixers

Algebraic properties

Diffusion properties

Showcase 1: a permutation using a CPM (with Ko Stoffelen)

Showcase 2: efficient inverse of θ of KECCAK- p (with Gilles Van Assche)



- ▶ State space \mathcal{A} has dimension mn
- ▶ Parity space \mathcal{P} has dimension n
- ▶ (Column-parity) kernel: subspace of \mathcal{A} with zero parity
 - dimension $(m - 1)n$
- ▶ Set of states with given parity p : affine space with dimension $(m - 1)n$
- ▶ Parities partition state space



- ▶ For states in the kernel, θ is the identity
- ▶ Lightest states have Hamming weight 2
 - two active bits in same column: *orbital*
- ▶ Any state in kernel can be expressed as set of orbitals
- ▶ Branch number $\mathcal{B} = 4$
- ▶ Number of states with $|A| + |\theta(A)| = 4$
 - Absolute: $n \binom{m}{2}$
 - Per statebit: $(m - 1)/2$
- ▶ Number of states with $|A| + |\theta(A)| = 8$
 - Absolute: $\binom{n}{2} \binom{m}{2}^2 + \dots$
 - Per statebit: $(n - 1)m(m - 1)^2/8$



- ▶ We are interested in $\min_{\mathbf{1}_m^T A = P} |A| + |\theta(A)|$
- ▶ θ consists of adding $E = \mathbf{1}_m^T P Z$
- ▶ We partition columns x of A in 3 classes
 - $E_x = 1$: affected
 - $E_x = 0, P_x = 1$: unaffected odd
 - $E_x = 0, P_x = 0$: unaffected even
- ▶ Rule: removal of orbital from A does not change its parity P
 - affected column contributes m bits
 - unaffected odd column contributes at least 2 bits
 - unaffected even column may have 0 bits
- ▶ Branch number given the parity-class: $\mathcal{B}(P)$
 - value: $2y + m\alpha$
 - number of states with this weight: $m^y 2^{(m-1)\alpha}$
 - called *parity-bare* states
- ▶ Z can be chosen such that α can only be low if y is high



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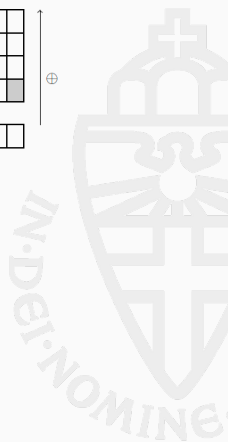
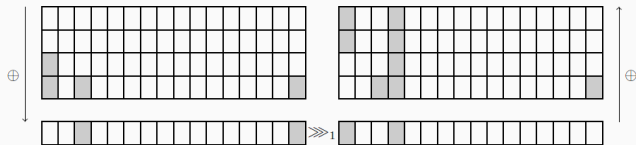
Showcase 2: efficient inverse of θ of KECCAK- p (with Gilles Van Assche)



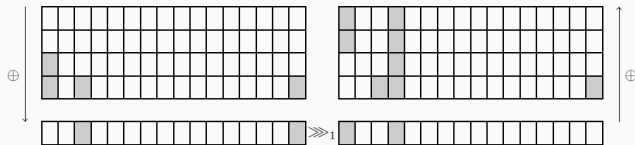
- ▶ Goals
 - efficient using only (cyclic) shifts and bitwise Boolean instructions
 - efficient in hardware
 - simple and symmetric
- ▶ Structure: two-dimensional state of 4-bit nibbles
- ▶ Round function:
 - non-linearity: 4-bit S-box operating on nibbles
 - mixing: column-parity mixer
 - transposition: Shift of rows and permutation of rows
 - addition of round constant



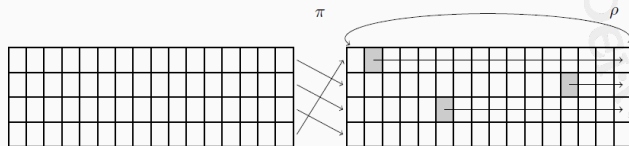
Mixing layer



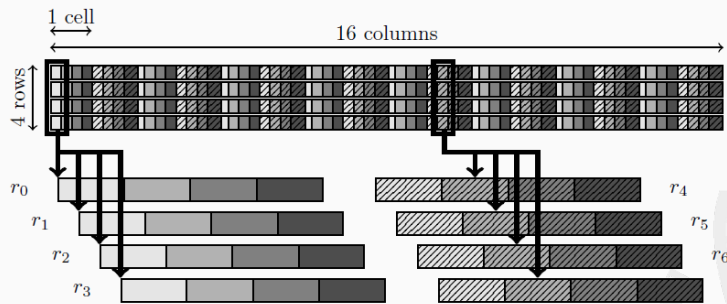
Mixing layer



Transposition layer



Mapping Mixer state to 8 32-bit words



Requires S-box to be rotation-invariant for efficiency

		AES	Mixer
Performance	cycles/byte (1 round) on ARM cortex M4	bit-sliced 10	2.3
Differential trails	# rounds	4	5
	# active S-boxes	25	48
	– log max DP S-box weight/round	6 37.5	2 19
Linear trails	# rounds	4	5
	# active S-boxes	25	43
	– log max LP S-box weight/round	6 37.5	2 17



Some context

Column parity mixers

Algebraic properties

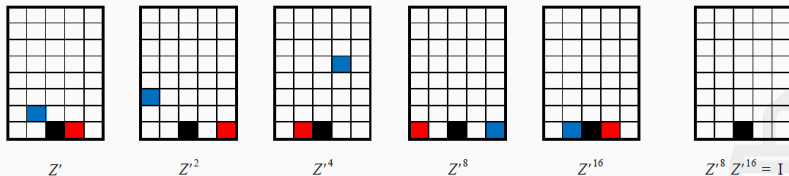
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Example for KECCAK- $p[200, n_r]$: $\theta^{-1}(A) = A + \mathbf{1}_5^T A (Z'^{-1} + \mathbf{I})$ with $Z' = Z + \mathbf{I}$



$$Z'^{-1} = Z'^{23} = Z' \times Z'^2 \times Z'^4 \times Z'^{16}$$

Algorithm:

- (1) $P \leftarrow \mathbf{1}_5^T A$
- (2) $e \leftarrow PZ'$; $e \leftarrow eZ'^2$; $e \leftarrow eZ'^4$; $e \leftarrow eZ'^{16}$
- (3) $e \leftarrow e + P$
- (4) $A \leftarrow A + \mathbf{1}_5 e$

Cost per bit: 3.6 XORs

For KECCAK- $p[1600, n_r]$ order of Z' is 191 and cost per bit is 4.4 XORs

Questions?

